

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Shu-Wen Sung et al.

5 Examiner: NGUYEN, JOSEPH H Art Unit: 2815  
Appl. No.: 10/063,822 Filing Date: 05/16/2002  
Docket No.: KYCP0005USA

10 Title: LIGHT EMITTING DIODE HAVING AN INSULATING  
SUBSTRATE

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

15 Subject: Status Inquiry about Request for Deduction

Dear Sir,

20 The applicant submitted a status letter on 10/25/2007 (attachment) to inquire the  
progress of deduction. So far the USPTO has not deducted the balance \$50 for the  
excess claim in the amendment filed on 01/21/2005.

25 Please deduct the balance excess claim fee \$50 from the deposit account no.  
50-3105 as soon as possible. Your quick response will be appreciated.

Sincerely yours,

/Winston Hsu/

Date: 11/01/2011

Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

10 Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

15

Attachment

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

5 Applicant(s): Shu-Wen Sung et al.  
Serial No.: 10/063,822 Filing Date: 05/16/2002  
Patent No.: US 6,936,860 B2 Issue Date: 08/30/2005  
Examiner: NGUYEN, JOSEPH H Art Unit: 2815  
Docket No.: KYCP0005USA

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Title: LIGHT EMITTING DIODE HAVING AN INSULATING  
SUBSTRATE

15 To: Deposit Account Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

20 Subject: Status Inquiry of the Request for Deduction

Dear Sir,

25 The undersigned agent filed a response to the office action of the  
above-identified application on 01/21/2005 and authorized the USPTO  
to deduct the excess claim fee \$200.00 from the Deposit Account No.  
50-3105 (Attachment 1). But the USPTO deducted only \$100.00 on  
02/08/2005.

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02/08 3	10063822	KYCP0005USA	2201	\$100.00	\$30,223.00
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The applicant further filed a request for deduction on 03/02/2005

(Please refer to the image documents dated 03-02-2005 in IFW). The request explained that the assignee's status as a Small Entity was removed (Attachment 2) and the USPTO should deduct the balance fee \$100.00. However, the USPTO deducted only \$50.00 on 07/19/2006.

5      

07/19	162	10063822	KYCP0005USA	1202	\$50.00	\$21,772.00
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Please deduct the remaining fee \$50.00 from the Deposit Account No. 50-3105 as soon as possible. Your quick response will be greatly appreciated.

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Respectfully submitted,



Date: 10/25/2007

15      Winston Hsu, Patent Agent No. 41,526  
P.O. BOX 506, Merrifield, VA 22116, U.S.A.  
Voice Mail: 302-729-1562  
Facsimile: 806-498-6673  
e-mail : winstonhsu@naipo.com

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= 9 PM in Taiwan.)

## Attachment 1

PTO/98/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918).

## FEE TRANSMITTAL

### For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$ ) 200.00
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<b>Complete if Known</b>	
Application Number	10/083,822
Filing Date	05/18/2002
First Named Inventor	Shu-Wen Sung
Examiner Name	NGUYEN, JOSEPH H
Art Unit	2815
Attorney Docket No.	KYCP0005USA

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims**          **Extra Claims**          **Fee (\$)**          **Fee Paid (\$)**         

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims**          **Extra Claims**          **Fee (\$)**          **Fee Paid (\$)**         

IP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**          **Extra Sheets**          **Number of each additional 50 or fraction thereof**          **Fee (\$)**          **Fee Paid (\$)**         

         - 100 =          / 50 =          (round up to a whole number) x          =         

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other:         

**SUBMITTED BY**

Signature <u>Winston Hsu</u>	Registration No. <u>41,526</u>	Telephone <u>302-729-1562</u>
Name (Print/Type) <u>Winston Hsu</u>	(Attorney/Agent)	Date <u>1/21/2005</u>

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Attachemnt 2

Paper 15

NAIPO (NORTH AMERICA INTERNATIONAL PATENT OFFICE)  
P.O. BOX 506  
MERRIFIELD VA 22116

**COPY MAILED**

OCT 07 2004

**OFFICE OF PETITIONS**

In re Application of :  
Shu-Wen Sung et al. :  
Application No. 10/063,822 :  
Filed: May 16, 2002 :  
Attorney Docket No. KYCP0005USA :

ON PETITION

This is in response to the paper filed September 8, 2004 under 37 CFR 1.27(g)(2) requesting that status as a Small Entity be removed.

In accordance with the September 8, 2004 request, status as a Small Entity has been removed.

Telephone inquiries concerning this matter may be directed to the undersigned  
Petitions Attorney at (571) 272-3212.

*Patricia Faison-Ball*

Patricia Faison-Ball  
Senior Petitions Attorney  
Office of Petitions